



Annexure 'A' to the Directors' Report

Form MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

i.	CIN	L24232MH2007PLC169354
ii.	Registration Date	March 27, 2007
iii.	Name of the Company	RPG Life Sciences Limited
iv.	Category/Sub-Category of the Company	Company limited by shares Indian Non-Government Company
v.	Address of the Registered office and contact details	RPG House 463, Dr. Annie Besant Road, Worli, Mumbai 400 030. Tel No: 91-22-24981650/66606375 Fax: +91-22-24970127 E-mail : info@rpglifesciences.com Web: www.rpglifesciences.com
vi.	Whether listed company	Yes (BSE Limited and National Stock Exchange of India Limited)
vii.	Name Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Pvt. Ltd C 101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai 400 083. Tel: +91-22-49186000 Fax: +91-22-49186060 Email: rnt.helpdesk@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:-

Sr. No.	Name and Description of main products/ services	NIC Code of the Product/Service	% to total turnover of the Company
1	Manufacturer of Pharmaceutical preparations	21002	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No	Name and address of the Company	CIN/GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
	NIL	NA	NA	NA	NA


IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as Percentage of Total Equity)
i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change During the year
	Demat	Physical	Total	% of total Shares	Demat	Physical	Total	% of total Shares	
A. Promoters									
(1) Indian									
a. Individual/HUF	88,71,609	-	88,71,609	53.63	88,71,649	-	88,71,649	53.64	0.01
b. Central Govt	-	-	-	-	-	-	-	-	-
c. State Govt	-	-	-	-	-	-	-	-	-
d. Bodies Corp	28,69,289	-	28,69,289	17.35	30,47,570	-	30,47,570	18.43	1.08
e. Banks/FI	-	-	-	-	-	-	-	-	-
f. Any other	-	-	-	-	-	-	-	-	-
Sub-Total (A) (1)	1,17,40,898	-	1,17,40,898	70.99	1,19,19,219	-	1,19,19,219	72.07	1.08
(2) Foreign									
a. NRIs- Individuals	-	-	-	-	-	-	-	-	-
b. Other Individuals	-	-	-	-	-	-	-	-	-
c. Bodies Corp	-	-	-	-	-	-	-	-	-
d. Banks/FI	-	-	-	-	-	-	-	-	-
e. Any Other	-	-	-	-	-	-	-	-	-
Sub-Total (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A) = (A)(1) + (A) (2)	1,17,40,898	-	1,17,40,898	70.99	1,19,19,219	-	1,19,19,219	72.07	1.08
B. Public Shareholding									
1. Institutions									
a. Mutual Funds	-	-	-	-	-	-	-	-	-
b. Banks/FI	42,826	2,124	44,950	0.27	59,879	2,124	62,003	0.37	0.10
c. Central Govt	-	-	-	-	-	-	-	-	-
d. State Govts	-	-	-	-	-	-	-	-	-
e. Venture Capital Funds	-	-	-	-	-	-	-	-	-
f. Insurance Companies	-	-	-	-	-	-	-	-	-
g. FIs	-	-	-	-	-	-	-	-	-
h. Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i. Others (specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B) (1)	42,826	2,124	44,950	0.27	59,879	2,124	62,003	0.37	0.10
2. Non-Institutions									
a. Bodies Corp									
i Indian	10,55,537	2,201	10,57,738	6.40	7,65,642	2,201	7,67,843	4.64	(1.76)
ii Overseas	-	-	-	-	1,459	-	1,459	0.01	0.01
b. Individuals									
i Individual shareholders holding nominal share capital upto ₹ 1 lakh	26,98,538	2,80,599	29,79,137	18.01	27,79,229	2,56,043	30,35,272	18.35	0.34
ii Individual shareholders holding nominal share capital in excess of ₹ 1 lakh	1,52,000	-	1,52,000	0.92	2,38,844	-	2,38,844	1.44	0.52
Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
NBFCs registered with RBI	31,446	-	31,446	0.19	-	-	-	-	-



Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change During the year
	Demat	Physical	Total	% of total Shares	Demat	Physical	Total	% of total Shares	
c. Others (Specify)									
i. Clearing Member	55,964	-	55,964	0.34	26,065	-	26,065	0.16	(0.18)
ii. NRI (Repatriable)	56,808	297	57,105	0.35	65,516	297	65,813	0.40	0.05
iii. NRI (Non-Repatriable)	48,388	-	48,388	0.29	48,191	-	48,191	0.29	-
iv. Trusts	-	-	-	-	-	-	-	-	-
v. Hindu Undivided Family	2,44,218	14	2,44,232	1.48	2,38,888	14	2,38,902	1.44	(0.04)
vi. IEPF	1,24,307	-	1,24,307	0.75	1,32,554	-	1,32,554	0.80	0.05
vii. Foreign Companies	-	2,850	2,850	0.02	-	2,850	2,850	0.02	-
Sub-Total (B)(2)	44,67,206	2,85,961	47,53,167	28.74	42,96,388	2,61,405	45,57,793	27.55	(1.19)
Total Public Shareholding (B)=(B)(1)+ (B) (2)	45,10,032	2,88,085	47,98,117	29.01	43,56,267	2,63,529	46,19,796	27.93	(1.08)
C. Shares held by the Custodian for GDRs and ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,62,50,930	2,88,085	1,65,39,015	100.00	1,62,75,486	2,63,529	1,65,39,015	100.00	-

ii) Shareholding of promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares Pledged/ encumbered to total share holders	No. of Shares	% of total shares of the Company	% of shares Pledged/ encumbered to total share-holders	
1	Chattarpati Apartments LLP	362	-	-	362	-	-	-
2	Instant Holdings Limited	97	-	-	97	-	-	-
3	Summit Securities Limited	398	-	-	398	-	-	-
4	STEL Holdings Limited	5,02,550	3.04	-	5,25,369	3.18	-	0.14
5	Carnival Investments Limited	6,500	0.04	-	6,500	0.04	-	-
6	Swallow Associates LLP	10	-	-	10	-	-	-
7	Sudarshan Electronics and T.V. Ltd.	501	-	-	501	-	-	-
8	Ektara Enterprises LLP	23,58,831	14.26	-	25,14,293	15.20	-	0.94
9	Atlantus Dwellings And Infrastructure LLP	10	-	-	10	-	-	-
10	Malabar Coastal Holdings LLP	10	-	-	10	-	-	-
11	Sofreal Mercantrade Pvt. Ltd.	10	-	-	10	-	-	-
12	Vayu Udaan Aircraft LLP	10	-	-	10	-	-	-
13	Mr. Harsh Vardhan Goenka ^[1]	88,35,944	53.42	-	88,35,944	53.42	-	-
14	Mr. Harsh Vardhan Goenka	26,913	0.16	-	26,913	0.16	-	-
15	Ms. Mala Goenka	8,747	0.05	-	8,747	0.05	-	-
16	Mr. Harsh Vardhan Goenka ^[2]	1	-	-	1	-	-	-
17	Mr. Harsh Vardhan Goenka ^[3]	1	-	-	1	-	-	-
18	Mr. Harsh Vardhan Goenka ^[4]	1	-	-	1	-	-	-
19	Mr. Harsh Vardhan Goenka ^[5]	1	-	-	1	-	-	-
20	Mr. Harsh Vardhan Goenka ^[6]	1	-	-	1	-	-	-



Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares Pledged/encumbered to total shareholders	No. of Shares	% of total shares of the Company	% of shares Pledged/encumbered to total shareholders	
21	Mr. Anant Vardhan Goenka ^[7]	-	-	-	10	-	-	-
22	Mr. Harsh Vardhan Goenka ^[8]	-	-	-	10	-	-	-
23	Mr. Harsh Vardhan Goenka ^[9]	-	-	-	10	-	-	-
24	Mr. Anant Vardhan Goenka ^[10]	-	-	-	10	-	-	-
	Total	1,17,40,898	70.99	-	1,19,19,219	72.07	-	1.08

[1] Trustee of Nucleus Life Trust.

[2] Trustee of Crystal India Tech Trust.

[3] Trustee of Monitor Portfolio Trust.

[4] Trustee of Stellar Energy Trust.

[5] Trustee of Secura India Trust.

[6] Trustee of Prism Estates Trust.

[7] Trustee of AVG Family Trust.

[8] Trustee of Ishaan Goenka Trust.

[9] Trustee of Navya Goenka Trust.

[10] Trustee of RG Family Trust.

iii) **Change in Promoters' Shareholding (please specify, if there is no change)**

Sr. No.	Shareholder's Name		Shareholding at the beginning of the year		Cumulative shareholding during the year	
			No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	At the beginning of the year		1,17,40,898	70.99	1,17,40,898	70.99
1	Ektara Enterprises LLP					
	03.05.2019	Market Purchase	7,489	0.05	1,17,48,387	71.03
	06.05.2019	Market Purchase	6,639	0.04	1,17,55,026	71.07
	07.05.2019	Market Purchase	15,900	0.10	1,17,70,926	71.17
	08.05.2019	Market Purchase	8,794	0.05	1,17,79,720	71.22
	09.05.2019	Market Purchase	3,622	0.02	1,17,83,342	71.25
	14.05.2019	Market Purchase	609	0.00	1,17,83,951	71.25
	15.05.2019	Market Purchase	116	0.00	1,17,84,067	71.25
	16.05.2019	Market Purchase	1,497	0.01	1,17,85,564	71.26
	17.05.2019	Market Purchase	2,617	0.02	1,17,88,181	71.27
	20.05.2019	Market Purchase	4,965	0.03	1,17,93,146	71.31
	21.05.2019	Market Purchase	4,774	0.03	1,17,97,920	71.33
	22.05.2019	Market Purchase	147	0.00	1,17,98,067	71.33
	23.05.2019	Market Purchase	5,359	0.03	1,18,03,426	71.37
	24.05.2019	Market Purchase	1,547	0.01	1,18,04,973	71.38
	27.05.2019	Market Purchase	2,619	0.02	1,18,07,592	71.39
	28.05.2019	Market Purchase	931	0.01	1,18,08,523	71.40



Sr. No.	Shareholder's Name		Shareholding at the beginning of the year		Cumulative shareholding during the year	
			No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	29.05.2019	Market Purchase	2,687	0.02	1,18,11,210	71.41
	30.05.2019	Market Purchase	4,028	0.02	1,18,15,238	71.44
	31.05.2019	Market Purchase	4,157	0.03	1,18,19,395	71.46
	03.06.2019	Market Purchase	8,057	0.05	1,18,27,452	71.51
	04.06.2019	Market Purchase	5,480	0.03	1,18,32,932	71.55
	06.06.2019	Market Purchase	3,010	0.02	1,18,35,942	71.56
	07.06.2019	Market Purchase	6,540	0.04	1,18,42,482	71.60
	10.06.2019	Market Purchase	9,800	0.06	1,18,52,282	71.66
	11.06.2019	Market Purchase	2,244	0.01	1,18,54,526	71.68
	12.06.2019	Market Purchase	1,727	0.01	1,18,56,253	71.69
	13.06.2019	Market Purchase	3,287	0.02	1,18,59,540	71.71
	14.06.2019	Market Purchase	5,771	0.03	1,18,65,311	71.74
	17.06.2019	Market Purchase	2,130	0.01	1,18,67,441	71.75
	18.06.2019	Market Purchase	492	0.00	1,18,67,933	71.76
	19.06.2019	Market Purchase	12,699	0.08	1,18,80,632	71.83
	20.06.2019	Market Purchase	5,470	0.03	1,18,86,102	71.87
	21.06.2019	Market Purchase	788	0.00	1,18,86,890	71.87
	25.06.2019	Market Purchase	6,173	0.04	1,18,93,063	71.91
	26.06.2019	Market Purchase	627	0.00	1,18,93,690	71.91
	27.06.2019	Market Purchase	2,670	0.02	1,18,96,360	71.93
2	STEL Holdings Limited					
	10.05.2019	Market Purchase	6,163	0.04	1,19,02,523	71.97
	13.05.2019	Market Purchase	4,000	0.02	1,19,06,523	71.99
	14.05.2019	Market Purchase	3,364	0.02	1,19,09,887	72.01
	15.05.2019	Market Purchase	1,943	0.01	1,19,11,830	72.02
	16.05.2019	Market Purchase	5,091	0.03	1,19,16,921	72.05
	17.05.2019	Market Purchase	1,288	0.01	1,19,18,209	72.06
	21.05.2019	Market Purchase	970	0.01	1,19,19,179	72.07
3	AVG Family Trust					
	20.06.2019	Market Purchase	10	0.00	1,19,19,189	72.07
4	Ishaan Goenka Trust					
	22.11.2019	Market Purchase	10	0.00	1,19,19,199	72.07
5	Navya Goenka Trust					
	22.11.2019	Market Purchase	10	0.00	1,19,19,209	72.07
6	RG Family Trust					
	22.11.2019	Market Purchase	10	0.00	1,19,19,219	72.07
	At the End of the year		1,19,19,219	72.07	1,19,19,219	72.07


iv) Shareholding Pattern of Top Ten Shareholders (other than Directors, Promoters and holders of GDRs and ADRs)

Sr. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Authum Investment And Infrastructure Limited	-	-	3,27,584	1.98
2	Neville Jijibhoy Mistry	1,52,000	0.92	1,52,000	0.92
3	Globe Capital Market Limited	20,209	0.12	72,269	0.44
4	ICICI Bank Limited	5,876	0.04	59,728	0.36
5	Zen Securities Ltd.	33,794	0.20	54,079	0.33
6	Nisarg Ajaykumar Vakharia	-	-	53,517	0.32
7	Edelweiss Custodial Services Limited	29,323	0.18	46,073	0.28
8	K. Satish	-	-	33,327	0.20
9	Singhi Dinesh Kumar HUF	40,000	0.24	28,000	0.17
10	Axis Bank Limited	36,799	0.22	8,188	0.05
11	Arihant Capital Markets Ltd.	39,081	0.24	145	0.00

Notes:

- In case of joint holding, the name of first holder has been considered.
- The shares of the Company are traded on daily basis by the top ten shareholders and hence the date wise increase/decrease in the shareholding is on consolidated basis.
- The shareholding details are given on the legal ownership and not beneficial ownership.

v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	Shareholding of Directors:				
	At the beginning of the year				
1	Mr. H.V. Goenka, Chairman	26,913	0.16	26,913	0.16
2	Mr. Narendra Ambwani, Director	1,000	-	1,000	-
3	Mr. Sachin Nandgaonkar, Director	7,606	0.04	7,606	0.04
4	Mr. Yugal Sikri, Managing Director	-	-	-	-
	At the end of the year	35,519	0.21	35,519	0.21
	Shareholding of KMP:				
	At the beginning of the year	-	-	-	-
1	Mr. Mahesh Narayanaswamy, Vice President - Finance	-	-	-	-
2	Mr. Rajesh Shirambekar, Company Secretary	-	-	-	-
	At the end of the year	-	-	-	-

Notes:

- Apart from above no other Director and KMP holds any shares at the beginning and end of the financial year 2019-20 in the Company.
- Further apart from above there was no increase/decrease in shareholding of any other Director and KMP.


V. Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in lakhs)

	Secured Loans excluding deposits	Unsecured loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the Financial year				
i. Principal Amount	1,387	2,237	-	3,624
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	1,387	2,237	-	3,624
Change in Indebtedness during the Financial year				
• Addition	-	-	-	-
• Reduction	(735)	(1,717)	-	(2,452)
Net Changes	(735)	(1,717)	-	(2,452)
Indebtedness at the end of the Financial year				
i. Principal Amount	652	520	-	1,172
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not paid	3	-	-	3
Total (i +ii +iii)	655	520	-	1,175

VI. Remuneration of Directors and Key Managerial Personnel
A. Remuneration to Managing Director, Whole- Time Directors and/or Manager

(Amount in ₹)

Sr. No.	Particulars of Remuneration	Name of Managing Director: Mr. Yugal Sikri
1	Gross Salary a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961 b) Value of perquisites u/s 17(2) Income-Tax Act, 1961 c) Profits in lieu of salary under section 17(3) Income-Tax Act, 1961	2,13,65,328
2	Stock Option	-
3	Sweat Equity	-
4	Commission • As % of profit • Others, specify	-
5	Other please specify: • Performance Bonus • Retiral Benefits	8,10,000
	Total (A)	2,21,75,328
	Ceiling as per the Act	As per Section 197 of Companies Act, 2013


B. Remuneration to other Directors

(Amount in ₹)

Sr. No.	Particulars of Remuneration	H.V. Goenka	C.L.Jain	Lalit S. Kanodia	Manoj Maheshwari	Mahesh Gupta	Narendra Ambwani	Zahabiya Khorakiwala	Bhaskar Iyer	Sachin Nandgaonkar	Total
		1	2	3	4	5	6	7	8	9	
1.	Independent Directors Fee for attending board committee meetings	-	3,15,000	4,25,000	4,00,000	6,48,000	6,23,000	3,05,000	2,50,000	-	29,66,000
	Commission &	-	-	-	-	-	-	-	-	-	-
	Other, please specify	-	-	-	-	-	-	-	-	-	-
	Total (1)	-	3,15,000	4,25,000	4,00,000	6,48,000	6,23,000	3,05,000	2,50,000	-	29,66,000
2.	Other Non-Executive Directors	4,00,000	-	-	-	-	-	-	-	6,40,000	10,40,000
	Fee for attending board committee meetings	-	-	-	-	-	-	-	-	-	-
	Total (2)	4,00,000	0	0	0	0	0	0	0	6,40,000	10,40,000
	Total (B)= (1+2)	4,00,000	3,15,000	4,25,000	4,00,000	6,48,000	6,23,000	3,05,000	2,50,000	6,40,000	40,06,000
Total Managerial Remuneration											40,06,000
Overall Ceiling as per the Companies Act, 2013 is											₹ 1 lakh per meeting

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Vice President – Finance	Company Secretary	Total
1	Gross Salary			
	a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	44,66,888	44,91,834	89,58,722
	b) Value of perquisites u/s 17(2) Income-Tax Act, 1961	15,498	49,122	64,620
	c) Profits in lieu of salary under section 17(3) Income-Tax Act, 1961	-	-	-
2	Stock Option	No new stock options granted during the year		-
3	Sweat Equity	-	-	-
4	Commission			
	• As % of profit	-	-	-
5	• Others, specify	-	-	-
	Other please specify	-	-	-
Total (A)		44,82,386	45,40,956	90,23,342

VII. Penalties/Punishment/Compounding of Offences

Type	Section of the companies Act	Brief Description	Details of penalty/ punishment/ Compounding fees imposed	Authority [RD/ NCLT/COURT]	Appeal made, if any(give Details)
A. Company					
B. Directors					
C. Other officers in Default					
Penalty/ Punishment/ Compounding	NIL	NIL	NIL	NIL	NIL